

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of : Gabor DIOSI, Josef HAUPT and Martin BREHMER

Serial no. : 10/633,409 Filed : August 1, 2003

For : A MULTISTAGE AUTOMATIC TRANSMISSION

WITH THREE PLANETARY GEAR SETS

Group Art Unit : 3682

Examiner :

Docket : ZAHFRI P539US

The Commissioner for Patents U.S. Patent & Trademark Office P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

In connection with this matter, the Applicant hereby attaches United States Patent Office Form PTO/SB/08a and copies of the information listed in the enclosed PTO/SB/08a form, unless otherwise indicated on such Form.

The concise explanation, concerning the now submitted foreign language documents, is found on the submitted German Novelty (see MPEP § 609) issued with respect to corresponding German application, namely, Serial No. 103 33 430.0. The search report of three (3) other related German applications is also enclosed disclosing the relevance of the art cited in those search reports. Also enclosed, please find an English translation of the concise explanation, i.e., the category of relevance as asserted by the **German** searching authority, concerning the relevance of each foreign language document.

The art cited during prosecution of a related U.S. patent, namely, U.S. 6,527,507 issued June 3, 2003, is also made of record in the accompanying PTO/SB/08a.

In the event that there are any fee deficiencies or additional fees are payable, please charge the same or credit any overpayment to our Deposit Account (Account No. 04-0213).

Respectfully submitted,

Michael J. Bujold, Reg. No. 32,018

Customer No. 020210

Davis & Bujold, P.L.L.C.

Fourth Floor

500 North Commercial Street Manchester NH 03101-1151 Telephone 603-624-9220

Facsimile 603-624-9229

E-mail: patent@davisandbujold.com

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E S		Application Number	10/633,409							
TRANSMITTAL		Filing Date	August 1, 2	2003						
FORM		First Named Inventor	Gabor DIOS	l et al.						
(to be used for all correspondence after initial filing)		Group Art Unit	3682							
		Examiner Name	ime							
Total No. of Pages in this Submission: 230		Attorney Docket Number	ZAHFRI P53	39US						
		ENCLOSURES (check all to	hat apply)							
☐ Fee Transmittal Form		☐ Assignment papers (for an Application)		☐ After Allowance Communication to Group						
☐ Fee attached				·						
☐ Amendment/Response	1	☐ Drawing(s)		☐ Appeal Communication to Board of Appeals and Interferences						
↑ □ After Final		☐ Licensing-related Papers		☐ Appeal Communication to Group						
☐ Affidavits/declaration(s)		☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition		(Appeal Notice, Brief, Reply Brief)						
☐ Extension of Time Request		☐ To Convert a Provisional	Petition	□ Proprietary Information □ Status Letter						
¹□ Express Abandonment	Request	☐ Power of Attorney, Revocation Change of Correspondence Address		■ Additional Enclosure(s)						
■ Information Disclosure	Statement		ce Address	(please identify below):						
☐ Certified Copy of Prior	ity	☐ Terminal Disclaimer		Postcard						
Document(s)		☐ Small Entity Statement		PTO/SB/08a 34 Citations						
☐ Response to Missing Part/s Incomplete Application		☐ Request for Refund		German Novelty Search Report 3 other Search Report						
☐ Response to Missing Parts under 37 CFR 1.52 or 1.53										
REMARKS										
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT										
Firm or Individual Name	Michael J. Bujol DAVIS & BUปูO			Reg. No. 32,018 CUSTOMER NO. 020210						
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Date	August 20, 200									
		CERTIFICATE OF MAI	LING							
I hereby certify that this class mail in an envelope 2004.	correspondence is addressed to: Co	being deposited with the Unit mmissioner for Patents, P.O.	ted States Post Box 1450, Ale	al Service with sufficient postage as first xandria, VA 22313-1450 on August 20,						
Type or printed name Michael J. Bujold										
Signature Duky Buy Date: August 20,										
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U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Substitute for form 1449A/PTO Complete if Known **Application Number** 10/633,409 INFORMATION DISCLOSURE Filing Date August 1, 2003 STATEMENT BY APPLICANT First Named Inventor Gabor DIOSI et al. Art Unit 3682 (Use as many sheets as necessary) **Examiner Name** Sheet 1 Attorney Docket Number ZAHFRI P539US **U.S. PATENT DOCUMENTS** Examiner Cite **Document Number Publication Date** Name of Patentee or Pages, Columns, Lines, Where Initials* Number-Kind Code² MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Figures Appear 1 US-3,977,272 (Equiv. to DE-26 19 895) 08/31/1976 **NEUMANN** 2 US-4,070,927 01/31/1978 **POLAK** 3 US-4,939,955 07/10/1990 **SUGANO** (Equiv. to DE-38 25 733) US-5,232,411 (Equiv. to DE-42 24 360) 08/03/1993 HAYASHI et al. 5 US-5,250,011 **PIERCE** 10/05/1993 6 US-5,295,924 03/22/1994 BEIM 7 US-5,308,295 05/03/1994 MICHIOKA et al. (Equiv. to DE-42 24 361) US-5,435,792 07/25/1995 JUSTICE et al. 9 US-5,439,088 08/08/1995 MICHIOKA et al. (Equiv. to DE-43 02 518) US-5,460,579 KAPPEL et al. 10/24/1995 11 US-5,533,945 07/09/1996 MARTIN et al. (Equiv. to WO-96/01381) 12 US-5,536,220 07/16/1996 **MARTIN** 13 US-5,542,899 08/06/1996 PIERCE et al. (Equiv. to EP-0 719 961) US-5,735,376 04/07/1998 (Equiv. to DE-696 16 117) MOROTO et al.

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Examiner Signature 15

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Date Considered (Equiv. to EP-1 265 006)

PTO/SB/08a (08-03)

Approved for use through 07/31/2006: OMB 0651-0031

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT			equired to respond to a collection of information unless it contains a valid OMB control number. Complete if Known						
			Application Number 10/		10/633,4	0/633,409			
			Filing Date		August 1, 2003				
			First Named Inventor		Gabor DIOSI et al.				
(Use as many sheets as necessary)				Art Unit		3682			
				Examiner Name					
Sheet 2 of 2				Attorney Docket Number		ZAHFRI	ZAHFRI P539US		
			FOREIG	N PATEN	T DOCUMENTS	·			
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ No. ⁴ Kind Code ⁵		tion Date D-YYYY	Name of Pater Applicant of Cited		Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear.	T⁵	
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Examiner Signature						Date Considered			